

Substitute Form W-9
Legal Department

Request for Taxpayer Identification and Certification



I. Type of Entity (Choose One) Complete Box A, B, C, or D

A. Individual or Sole Proprietor Individuals or Sole Proprietors may receive a 1099 from Energy Trust.

(Persons known to file as self-employed are required to pay self-employment taxes and file using their Social Security number. Self employed filers are not eligible for unemployment benefits)

Name (as shown on your last income tax return)

- -
Social Security Number

Business or Trade Name (assumed business name if different than above)

B. Business, Partnership, Trust or Joint Venture, LLC or LLP Business entities other than corporations may receive a 1099 from Energy Trust.

Business Name (as shown on income tax return)

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Business EIN

Business or Trade Name (assumed business name if different than above)

C. Corporations While corporations will not receive a 1099 from Energy Trust, we still require a W-9 for our files.

Name of Corporation (as shown on income tax return)

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Corporation EIN

Business or Trade Name (assumed business name if different than above)

D. Government Public school districts, city, county, state, and federal entities in some cases may receive a 1099 form from Energy Trust.

Name of Government Entity (as shown on income tax return)

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Government EIN

Agency within Government Entity (if different than above)

II. Tax Filing Address

Street

City

Phone Number

State

Zip

III. Signature and Certification

Under penalties of perjury, I hereby certify that: 1) the above information is true and correct, 2) I am not subject to backup withholding, and 3) I am a U.S. person (including a U.S. resident alien).

Signature: _____ Date: _____