

**Substitute Form W-9**  
**Legal Department**

Request for Taxpayer Identification and Certification



**I. Type of Entity (Choose Only One) Complete Box A, B, C, or D**

**A. Individual or Sole Proprietor** Individuals or Sole Proprietors may receive a 1099 from Energy Trust.

(Persons known to file as self-employed are required to pay self-employment taxes and file using their Social Security number. Self employed filers are not eligible for unemployment benefits)

\_\_\_\_\_  
Name (as shown on your last income tax return)

-  -   
Social Security Number

\_\_\_\_\_  
Business or Trade Name (assumed business name if different than above)

**B. Business, Partnership, Trust or Joint Venture, LLC or LLP** Business entities other than corporations may receive a 1099 from Energy Trust.

\_\_\_\_\_  
Business Name (as shown on income tax return)

-   
Business EIN

\_\_\_\_\_  
Business or Trade Name (assumed business name if different than above)

**C. Corporations** While corporations will not receive a 1099 from Energy Trust, we still require a W-9 for our files.

\_\_\_\_\_  
Name of Corporation (as shown on income tax return)

-   
Corporation EIN

\_\_\_\_\_  
Business or Trade Name (assumed business name if different than above)

**D. Government** Public school districts, city, county, state, and federal entities in some cases may receive a 1099 form from Energy Trust.

\_\_\_\_\_  
Name of Government Entity (as shown on income tax return)

-   
Government EIN

\_\_\_\_\_  
Agency within Government Entity (if different than above)

**II. Tax Filing Address**

\_\_\_\_\_  
Street

\_\_\_\_\_  
City

\_\_\_\_\_  
Phone Number

\_\_\_\_\_  
State

\_\_\_\_\_  
Zip

**III. Signature and Certification**

Under penalties of perjury, I hereby certify that: 1) the above information is true and correct, 2) I am not subject to backup withholding, and 3) I am a U.S. person (including a U.S. resident alien).

Signature: \_\_\_\_\_

Date: \_\_\_\_\_