

Efficient Compressed Air

Production Efficiency Program | Incentive Application | Form 420_{CA}



Want your incentive faster? Apply online using DocuSign. Visit www.energytrust.org/Forms/CompressedAir

Steps to Completion

- 1) Complete** the application and sign page 2. Please note that all fields are required to process the application.
- 2) Attach** copies of invoices or receipts. Please note that itemized invoices are required to determine eligible costs.
- 3) Attach** evidence of equipment eligibility such as a cut sheet showing make and model number, capacity, and other characteristics in the qualifying criteria below (if not already included on invoice).

4) Fax, email, or send application to:

Energy Trust of Oregon - Streamlined Industrial

123 NE 3rd Ave, Suite 400

Portland, OR 97232

1.503.928.3154 phone

1.503.282.0177 fax

streamlinedindustrial@energytrust.org

Application must be submitted in the same calendar year, and within 180 days of equipment purchase.

SECTION 1: PARTICIPANT INFORMATION

Choose Payee	<input type="checkbox"/> Legal Business Name (as shown on your income tax return)	Contact Person		
	<input type="checkbox"/> Assumed Business Name (if different than above)	Contact Person Job Title		
Mailing Address		City	State	Zip
Cell/Tel	Email	Federal Taxpayer ID Number (TIN)		
Please check appropriate: <input type="checkbox"/> Individual or sole proprietor <input type="checkbox"/> Business, Partnership, Trust or Joint Venture, LLC or LLP <input type="checkbox"/> Corporation <input type="checkbox"/> Nonprofit or government				
Tax Filing Address		City	State	Zip

SECTION 2: FACILITY INFORMATION

Address of Installed Equipment, if different	City	State	Zip	Electric Utility <input type="checkbox"/> Pacific Power <input type="checkbox"/> PGE	Electric Utility Account Number
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SECTION 3: MEASURE INFORMATION

QUALIFYING CRITERIA are listed below. To confirm equipment eligibility, review and check off criteria below. See Terms and Conditions for additional information and contact us if you have questions.

SCFM ratings given below are taken at standard conditions: 100 psig, 100°F inlet air temperature.

- ☐ Compressed air equipment installed at a facility in Oregon served by Portland General Electric or Pacific Power.

REASON FOR PURCHASE (check one)

- ☐ Replace existing working equipment
☐ Replace existing failed equipment
☐ New equipment in existing facility
☐ New equipment in new facility

Total hp of compressors in affected system: _____

Zero-Loss Condensate Drains

- ☐ Drain is designed to function without release of compressed air to the atmosphere.
☐ New installations or replacement of existing drains are eligible and encouraged.

Cycling Refrigerated Dryers

- ☐ Rated capacity of dryer is 500 scfm or less. (Dryers >500 scfm may be eligible to apply for incentives using Energy Trust's custom savings calculation approach.)
☐ Dryer operates exclusively in cycling mode. Dryer is not equipped with the ability to select between cycling and non-cycling modes.
☐ Refrigeration compressor in dryer cycles off during periods of reduced demand. (I.E. compressor doesn't simply go into a bypass or unload mode.)
☐ Either the drain integral to the dryer is of the zero-loss type or a zero-loss drain must accompany the sale of the dryer. Either way, the drain is eligible for the \$100 incentive above.

Additional Receiver Capacity

- ☐ Incentive is based on receiver size in excess of 2 gallons per scfm of compressor capacity. Use only trim compressor capacity to calculate incentive if there are multiple compressors. Incentive is capped at 10 gallons per scfm of compressor capacity. Refer to example.
☐ Available for systems in which the compressor (trim compressor if multiple units) uses either 1) load/unload control without inlet modulation; or 2) on/off control. Systems with a VFD or using variable displacement control are not eligible.

Low Pressure-Drop Filters

- ☐ Filter is of deep-bed "mist eliminator" style, with element life of at least 5 years.
☐ Rated capacity of filter is 500 scfm or less. Filters >500 scfm may be eligible to apply for incentives using the custom savings calculation approach.
☐ Pressure loss at rated flow ≤1 psi when new, ≤3 psi at element change.
☐ Rated at 100% particle filtration at ≥3.0 microns, 99.98% at 0.1 - 3.0 microns, ≤5 ppm liquid carryover.

$$\text{\$100 each} \times \frac{\text{quantity}}{\text{dryer scfm}} = \text{incentive}$$

$$\text{\$1.50 per scfm rated capacity} \times \text{dryer scfm} = \text{incentive}$$

$$\text{\$2.50 per gallon above 2 gal/scfm} \times \text{gallons} = \text{incentive}$$

Example: compressor rated output 100 scfm at 100 psig. The first 2 gal/scfm = 200 gal is not eligible for incentive. Each gallon above 200 gal does receive the incentive.

$$\text{\$2.00 per scfm rated capacity} \times \text{filter scfm} = \text{incentive}$$

Program Use Only		2019.2
PE Number	PT ID	

Estimated Incentive:

Read and sign page 2 on reverse

SECTION 4: TERMS AND CONDITIONS

- 1. Application Deadlines:** Participant should retain a copy of this application and any accompanying documentation submitted. **Complete applications must be submitted within 180 days and in the same calendar year of equipment purchase and install.** Neither Energy Trust nor its representatives will be responsible for any lost documentation pertaining to this application.
- 2. Eligibility:** Incentives for qualifying electric energy saving equipment are available to eligible industrial, municipal and agricultural Portland General Electric or Pacific Power electric customers in Oregon. By submitting this application to Energy Trust, Participant represents that none of the equipment listed in this application will be submitted to the Oregon Department of Energy for conservation project self-direct credit certification. Transportation service and Combination service customer accounts are not eligible for incentives. Additional eligibility restrictions may apply and final determination of eligibility rests solely with Energy Trust.
- 3. Incentives:** Limited to one incentive per one qualifying equipment purchase. Funds for incentives are limited and available on a first-come, first-served basis. Details of the Program, including incentive levels, are subject to change. The total incentive provided (i) will depend upon the incentives available and in effect as of the equipment purchase/installation date, and (ii) will never exceed the total, eligible project cost or a maximum of \$499,999. The Program also limits the total amount that any Participant can receive on a per site, per year basis.
- 4. Payment:** Incentives will be paid upon: (i) submission of this completed application along with all required accompanying proof of purchase documentation by the deadline indicated, and (ii) satisfactory completion of a Program verification, if required. Please allow 60 to 90 days from Energy Trust's receipt of all information for delivery of payment. A complete, accurate, and verifiable W9 is required. Failure to deliver all required documentation may result in delay or withholding of payment.
- 5. Proof of Purchase:** Participant must submit all sales receipts, invoices, manufacturer specification sheets, and other pertinent documents itemizing the equipment purchased. The proof of purchase documentation submitted must show: (i) the date of purchase and itemized price paid, and (ii) size, type, make, and model or part number of equipment purchased. Participant will provide Energy Trust with additional proof of purchase information upon request.
- 6. Verification:** Equipment installations may be selected for an on-site verification review. Should a Participant's facility be chosen for verification, satisfactory completion of that verification must occur before payment will be issued. Energy Trust's verification is for the purpose of incentive payment only. No warranty is implied.
- 7. No Endorsement:** Energy Trust and its representatives do not endorse any particular manufacturer, contractor or product in promoting the Program. The fact that the names of particular manufacturers, contractors, products, or systems may appear on this application does not constitute an endorsement. Manufacturers, contractors, products or systems not mentioned are not implied to be unsuitable or defective in any way.
- 8. Disclaimer:** ENERGY TRUST AND ITS REPRESENTATIVES MAKE NO GUARANTEE OR WARRANTY WHATSOEVER, WHETHER EXPRESS OR IMPLIED, WITH RESPECT TO THE EQUIPMENT. Participant assumes the risk of any loss or damage(s) that Participant may suffer in connection with the equipment. Energy Trust does not guarantee any particular energy savings results by its approval of this application, or by any other of its actions.

- 9. Energy Information Release:** Participant hereby confirms that it has reasonably attempted to identify all of its utility accounts for its identified site(s) in this incentive application. By signing and submitting this incentive application to Energy Trust, Participant authorizes Energy Trust to access its energy usage data for all of Participant's utility accounts for the identified site(s), including those utility accounts identified in this incentive application and any other of Participant's utility accounts that may be associated with such site(s) that Participant is not able to reasonably identify at this time. Participant agrees to provide other reasonable assistance to Energy Trust to obtain such information. Participant further authorizes Energy Trust to discuss its energy efficiency efforts with its utility account representative(s).
- 10. Equipment Installation:** Participant represents that (i) it has the right to install the equipment on the property on which the equipment is installed and that any necessary consents have been obtained, and (ii) that all equipment installed and work performed shall comply with all laws, regulations and safety, building, environmental and permitting codes, and any manufacturer instructions.
- 11. Tax Liability:** Energy Trust is not responsible for any tax liability which may be imposed on Participant due to incentive payments. Energy Trust is not providing tax advice, and any communication by Energy Trust is not intended or written to be used, and cannot be used, for the purpose of avoiding penalties under the Internal Revenue Code.
- 12. Limitation of Liability:** ENERGY TRUST'S LIABILITY IN CONNECTION WITH THIS APPLICATION SHALL BE LIMITED TO THE AMOUNT OF ANY INCENTIVE OWED. NEITHER ENERGY TRUST NOR ITS REPRESENTATIVES SHALL BE LIABLE TO PARTICIPANT OR TO ANY OTHER PARTY FOR DAMAGES OF ANY KIND, INCLUDING, BUT NOT LIMITED TO, GENERAL, SPECIAL, INCIDENTAL, CONSEQUENTIAL, OR PUNITIVE DAMAGES ARISING FROM OR ASSOCIATED WITH THIS APPLICATION.
- 13. Information Release:** Participant agrees that Energy Trust may include some or all of the following information in reports to Bonneville Power Administration, the legislature, the Oregon Public Utility Commission (OPUC), and other government agencies as necessary to meet Energy Trust's responsibilities and regulatory requirements: Participant name, site address, general description of the type of energy saving or renewable project implemented (e.g. lighting, HVAC, solar PV), Energy Trust services or incentive payments provided to the Participant, and any energy saved or generated as a result of Energy Trust services or incentives. Energy Trust will treat all other information gathered as confidential and report it to such agencies only in the aggregate..
- 14. Access and Evaluation:** Energy Trust and/or its representatives may request access to the property for verification or evaluation purposes. Participant agrees to cooperate with evaluation as a requirement of this incentive agreement with Energy Trust. Participant agrees to provide Energy Trust and its representatives with (i) reasonable access to the project site(s), obtaining any and all necessary consents, (ii) requested project documentation related to the measure(s), and (iii) information about the energy use and operations of the measure(s) and/or project site(s) for the purposes of evaluating the energy savings during and after measure completion. Participant further agrees that Energy Trust and its representatives may inform subsequent owners of the project site(s) that Energy Trust has provided service to the project site(s) for the sole purpose of evaluating and facilitating Energy Trust program services.
- 15. Governing Law:** This agreement shall be exclusively governed by and construed in accordance with applicable Oregon law, without regard to any conflicts of laws rules thereof.
- 16. Signatures:** Documents transmitted with faxed, scanned or digital signature shall be deemed to be original signatures. At the request of Energy Trust, Participant shall confirm signature by providing an original document.

SECTION 5: AGREEMENT

By my signature below, I agree that all information supplied to Energy Trust on this application is true and correct to the best of my knowledge, the purchased equipment has been installed at Participant's site address listed and I am authorized to submit this incentive application on behalf of the named Participant identified in Section 1 and agree to the terms and conditions of this incentive application.

SECTION 6: SUBSTITUTE FORM W9 CERTIFICATION

Signature and Certification: Under penalties of perjury, I hereby certify that (1) The payee's taxpayer identification is true and correct, (2) the payee is not subject to backup withholding, and (3) payee is a U.S. person (including a U.S. resident alien).

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature	Name (please print)	Date