Industrial Insulation
Production Efficiency Program | Incentive Application | Form 420IN

Steps to Completion
1) **Complete** the application and sign page 4. Please note that all fields are required to process the application.
2) **Attach** copies of invoices or receipts. Please note that itemized invoices are required to determine eligible costs.
3) **Attach** evidence of equipment eligibility such as a cut sheet showing make and model number, capacity, and other characteristics in the qualifying criteria below (if not already included on invoice).
4) **Horticultural grow operations do not qualify for this incentive.** Contact ag@energytrust.org for more information.

SECTION 1: PARTICIPANT INFORMATION

Choose Payee

- Legal Business Name (as shown on your income tax return)
- Assumed Business Name (if different than above)

Mailing Address

City State Zip

Cell/Tel Email Federal Taxpayer ID Number (TIN)

Please check appropriate:

- Individual or sole proprietor
- Business, Partnership, Trust or Joint Venture, LLC or LLP
- Corporation
- Nonprofit or government

Tax Filing Address

City State Zip

SECTION 2: SITE INFORMATION

Address of Installed Equipment, if different

City State Zip

Heat Source

- Gas
- Heat Pump
- Electric Resistance

Electric Utility

- Pacific Power
- PGE

Electric Utility Account Number Gas Utility

- NW Natural
- Cascade Natural Gas
- Avista

Gas Utility Account Number Gas Rate Schedule

Measure Information on Page 2

Want your incentive faster? Apply online using DocuSign. Visit www.energytrust.org/Forms/Insulation

5) **Fax, email, or send** application to:

Energy Trust of Oregon - Streamlined Industrial
PO Box 14673
Portland, OR 97293
1.866.202.0576 phone
503.465.3793 fax
Production@energytrust.org

Application must be submitted in the same calendar year and within 180 days of equipment purchase and install.

Measurement on Page 2

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## QUALIFYING CRITERIA

- Insulation installed at an electric heated site in Oregon served by Portland General Electric or Pacific Power. The total incentive request for attic, roof and/or wall insulation incentive amounts is subject to Energy Trust’s established utility-specific maximum per-site limits.
- Insulation installed at a gas heated facility site in Oregon served by Cascade Natural Gas, NW Natural or Avista. The total incentive request for pipe, attic, roof and/or wall insulation incentive amounts is subject to Energy Trust’s established utility-specific maximum per-site limits.
- Site where the attic, roof or wall insulation is installed must be a facility building under 50,000 square feet to qualify for the incentives listed in this application.*

### BONUS INCENTIVE - Limited Time Offer:
- Purchased and installed, and application received before December 31, 2023.
- Submit incentive application within 180 days of purchase - but no later than December 31, 2023.

### Attic Insulation

- No existing insulation, unless existing is damaged or missing; new insulation must be ≥ R-25. Damaged or missing insulation must be prequalified and documented by the installation contractor.
- **BONUS INCENTIVE**

### Roof Insulation

- No existing insulation, unless existing is damaged or missing; new insulation must be ≥ R-15 for $0.50 incentive and ≥ R-30 for $1.00 incentive.
- **BONUS INCENTIVE**
- If existing insulation is R-5 or less, insulate to at least R-30 efficiency rating for $1.00 incentive.
- **BONUS INCENTIVE**
- Damaged or missing insulation must be prequalified and documented by the installation contractor.

### Wall Insulation

- No existing insulation, unless existing is damaged or missing; new insulation must be ≥ R-20.
- **BONUS INCENTIVE**

### Pipe Insulation

- Site must be served by a participating gas utility.
- Pipe insulation will include jacketing that meets accepted industry practices in order to provide an appropriate level of protection for the insulation under the given environmental conditions.
- Pipes must be heated year round.
- Minimum required thickness as shown.
- No existing insulation.
- Gas heated & water/steam systems only.

<table>
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<th>Pipe Diameter</th>
<th>Min Req. Insulation Thickness</th>
<th>Incentive/unit</th>
<th>Qty</th>
<th>Incentive</th>
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</thead>
<tbody>
<tr>
<td>≤ 1.5&quot;</td>
<td></td>
<td>$25.00 per foot</td>
<td>X</td>
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<tr>
<td>&gt; 1.5&quot;</td>
<td></td>
<td>$25.00 per foot</td>
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### Program Use Only

**2023.3**

<table>
<thead>
<tr>
<th>PE Number</th>
<th>PT ID</th>
</tr>
</thead>
</table>

* Attic, roof, or wall insulation installed at a site that is 50,000 square feet or greater may be eligible for custom incentives.

**Estimated Incentive:**
SECTION 4: ADDITIONAL TERMS AND CONDITIONS

1. Application Deadlines: Participant should retain a copy of this application and any accompanying documentation submitted. Complete applications must be submitted in the same calendar year, and within 180 days of equipment purchase and installation. Neither Energy Trust nor its representatives will be responsible for any lost documentation pertaining to this application.

2. Eligibility: Industrial, municipal, and agricultural customers in Oregon and on eligible rates schedules of NW Natural, Cascade Natural Gas, and Avista may apply for incentives for qualifying natural gas energy saving equipment. Incentives available to NW Natural Industrial Sales Service Customers taking service on Rate Schedule 3, 31 or 32 or Commercial Sales Service Customers taking service on Rate Schedule 360 are subject to the additional requirements set forth in Section 16 below. Additional eligibility restrictions, including but not limited to per-site limits, may apply and final determination of eligibility rests solely with Energy Trust.

3. Incentives: Limited to one incentive per one equipment purchase. Funds for incentives are limited and available on a first-come, first-served basis. Program requirements, including incentive levels and per-site limits, are subject to change without advance notice and may vary by utility territory depending on the pace of demand in each territory and the available incentive budget. If any bonus amounts are available for qualifying equipment, the base incentive plus the bonus incentives will never exceed the total documented eligible measure cost. The total incentive provided (i) will depend upon the incentive amounts in effect as of the equipment purchase date, and (ii) will never exceed total eligible project cost or a maximum of $499,999. Determination of site eligibility for application and incentive purposes shall rest solely with Energy Trust.

4. Payment: Incentives will be paid upon: (i) submission of this completed application along with all required accompanying proof of purchase documentation by the deadline indicated, and (ii) satisfactory completion of a Program verification, if required. Please allow 60 to 90 days from Energy Trust’s receipt of all information for delivery of payment. A complete, accurate, and verifiable W-9 is required. Failure to deliver all required documentation may result in delay or withholding of payment.

5. Proof of Purchase: Each application must submit a list of parts, invoices, manufacturer specification sheets, and other pertinent documents itemizing the equipment purchased. The proof of purchase documentation submitted must show: (i) the date of purchase and itemized price paid, and (ii) size, type, make, and model or part number of equipment purchased. Participant will provide Energy Trust with additional proof of purchase information upon request.

6. Verification: Equipment installations may be selected for an on-site verification review. Should a Participant’s facility be chosen for verification, satisfactory completion of that verification must occur before payment will be issued. Energy Trust’s verification is for the purpose of incentive payment only. No warranty is implied.

7. No Endorsement: Energy Trust and its representatives do not endorse any particular manufacturer, contractor, or product in promoting the Program. The fact that the names of particular manufacturers, contractors, products, or systems may appear on this application does not constitute an endorsement. Manufacturers, contractors, products or systems not mentioned are not implied to be unsuitable or defective in any way.

8. Disclaimer: ENERGY TRUST AND ITS REPRESENTATIVES MAKE NO GUARANTEE OR WARRANTY WHATSOEVER, WHETHER EXPRESS OR IMPLIED, WITH RESPECT TO THE EQUIPMENT. Participant assumes the risk of any loss or damage(s) that Participant may suffer in connection with the equipment. Energy Trust does not guarantee any particular energy savings results by its approval of this application, or by any other of its actions.

9. Energy Information Release: Participant hereby confirms that it has reasonably attempted to identify all of its utility accounts for its identified site(s) in this incentive application. By signing and submitting this incentive application to Energy Trust, Participant authorizes Energy Trust to access its energy usage data, including without limitation interval data, for all of Participant’s utility accounts for the identified site(s), including those utility accounts identified in this incentive application and any other of Participant’s utility accounts that may be associated with such site(s) that Participant is not able to reasonably verify at this time. Participant agrees to provide other reasonable assistance to Energy Trust to obtain such information. Participant further authorizes Energy Trust to discuss its energy efficiency efforts with its utility account representative(s).

10. Equipment Installation: Participant represents that (i) it has the right to install the equipment on the property on which the equipment is installed and that any necessary consents have been obtained, and (ii) that all equipment installed and work performed shall comply with all laws, regulations and safety, building, environmental and permitting codes, and any manufacturer instructions.

11. Tax Liability: Energy Trust is not responsible for any tax liability which may be imposed on Participant due to incentive payments. Energy Trust is not providing tax advice, and any communication by Energy Trust is not intended or written to be used, and cannot be used, for the purpose of avoiding penalties under the Internal Revenue Code.

12. Limitation of Liability: ENERGY TRUST’S LIABILITY IN CONNECTION WITH THIS APPLICATION SHALL BE LIMITED TO THE AMOUNT OF ANY INCENTIVE OWED. NEITHER ENERGY TRUST NOR ITS REPRESENTATIVES SHALL BE LIABLE TO PARTICIPANT OR TO ANY OTHER PARTY FOR DAMAGES OF ANY KIND, INCLUDING, BUT NOT LIMITED TO, GENERAL, SPECIAL, INCIDENTAL, CONSEQUENTIAL, OR PUNITIVE DAMAGES ARISING FROM OR ASSOCIATED WITH THIS APPLICATION.

13. Information Release: Participant agrees that Energy Trust may include some or all of the following information in reports to the legislature, the Oregon Public Utility Commission (OPUC), and other government agencies as necessary to meet Energy Trust’s responsibilities and regulatory requirements: Participant name, site address, general description of the type of energy saving or renewable project implemented (e.g. lighting, HVAC, solar PV), Energy Trust services or incentive payments provided to the Participant, and any energy saved or generated as a result of Energy Trust services or incentives. Energy Trust will treat all other information gathered as confidential and report it to such agencies only in the aggregate.

14. Access and Evaluation: Energy Trust and its representatives may request access to the property for verification or evaluation purposes. Participant agrees to cooperate with evaluation as a requirement of this incentive agreement with Energy Trust. Participant agrees to provide Energy Trust and its representatives with (i) reasonable access to project site(s), (ii) complete and accurate documentation of project documentation related to the measure(s), and (iii) information about the energy use and operations of the measure(s) and/or project site(s) for the purposes of evaluating the equipment savings during and after measure completion. Participant further agrees that Energy Trust and its representatives may inform subsequent owners of the project site(s) that Energy Trust has provided service to the project site(s) for the sole purpose of evaluating and facilitating Energy Trust program services.

15. Governing Law: This agreement shall be exclusively governed and construed in accordance with applicable Oregon law, without regard to any conflicts of laws rules thereof.

16. Natural Gas Non-Transportation Agreement: The Energy Trust incentive funding available to NW Natural Industrial Sales Service Customer accounts established under Rate Schedule 3, 31 or 32 and Commercial Sales Service Customer accounts established under Rate Schedule 32, for qualifying natural gas energy efficiency measures, is subject to NW Natural’s Non-Transportation Service Agreement. NW Natural’s Schedule 360 provides that a customer that transfers their account to a Transportation Service Type or a Combination Service Type may be charged a one-time charge equal to the two-year proration of the total incentive amount received. The Schedule 360 indicates that the charge will be calculated as follows: Charge = A x (B - C)

A= Energy Trust incentive paid on the relevant account; and
B= number of months that have elapsed since the relevant incentive was issued
C= multiple incentives were paid on the relevant account, a proration of each incentive repayment will be calculated. The Schedule 360 provides example repayment calculations and also states that (i) a charge will not be assessed if the total incentive amount received by such customer are $25,000 or less; and (ii) for incentives greater than $25,000, the charge must be paid in full as a condition of NW Natural’s approval to change Service Type. Additional details about this charge can be reviewed in NW Natural’s Schedule 360 (Industrial DSM Programs) filing. Contact your NW Natural account representative with questions. The Participant named above agrees (i) to notify Energy Trust at the same time as it notifies NW Natural to request a change from Sales Service to another Service Type as described above for the account(s) listed herein; and (ii) that Energy Trust will report information to NW Natural regarding the natural gas energy efficiency measures at this site and associated Energy Trust services and incentives provided.

17. Signatures: Documents transmitted with faxed, scanned or digital signature shall be deemed to be original signatures. At the request of Energy Trust, Participant shall confirm signature by providing an original document.

SECTION 5: AGREEMENT

By my signature below, I agree that all information supplied to Energy Trust on this application is true and correct to the best of my knowledge, the purchased equipment has been installed at Participant’s site address listed and I am authorized to submit this incentive application on behalf of the named Participant identified in Section 1 and agree to the terms and conditions of this incentive application.

SECTION 6: SUBSTITUTE FORM W9 CERTIFICATION

Signature and Certification: Under penalties of perjury, I hereby certify that (1) the payee’s taxpayer identification is true and correct, (2) the payee is not subject to backup withholding, and (3) payee is a U.S. person (including a U.S. resident alien).

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature
Name (please print)
Date